

# Cornell University's Approaches to Doctoral Program Assessment and Improvement

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Senior Vice Provost and Dean  
Graduate School

# Graduate Program Assessment at Cornell

- >90 graduate fields
- Types of assessment (to inform decision making):
  - External department program reviews (~ every 7-10 years)
  - Student learning outcomes (every 2 years)
  - Biennial field meetings (every 2 years)
  - Self-service Field Metrics (real time; demographic details)
  - Public Field Metrics (sortable; aggregate demographics)
  - Student Surveys
    - Admitted Not Attending (August)
    - New Students (September)
    - PhD Student Experience (February, biennial)
    - Exit Survey (all graduates, every term)



# Learning Outcomes & Assessment

- Explicit learning outcomes stated for each degree program.
- Every 2 years, each degree program reports on one or more learning outcomes:
  - Measures used (what did they assess, and how?)
  - Findings/Observations (what did they conclude?)
  - What will they do in response? (changes, improvements, continuation of activities)

## Proficiencies

1. Demonstrate broad-based knowledge in the discipline of Ecology, Evolutionary Biology, Organismal Biology, or some combination.
2. Make an original and substantive research contribution to sub-discipline
  - Think originally and independently to develop new knowledge, concepts and methods.
  - Identify new research questions.
3. Demonstrate advanced research skills
  - Be knowledgeable of historical development and able to articulate, discuss, and synthesize concepts and evidence in sub-discipline.
  - Be knowledgeable of organisms and ecological or evolutionary systems pertinent to doctoral research.
  - Master observational, experimental and analytical methods
  - Adhere to ethical standards of scientific research.
  - Interpret and evaluate research findings

## Sample Rubric for Evaluation of PhD Student Progress

Graduate Education Outcomes -- The student will be able to:	1 (Unacceptable)	2 (Fair)	3 (Very Good)	4 (Outstanding)
demonstrate knowledge of current research directions for the field of study.	Gaps in basic knowledge. Does not understand basic concepts, processes, or conventions of the discipline. Does not understand or misses relevant literature. Misrepresents or misuses sources.	Displays a basic understanding of the field. Literature review is adequate but not critical.	Displays a solid understanding of the field. Uses appropriate, standard theory, methods and techniques. Some exploration of interesting issues and connections.	Demonstrates thorough mastery as well as creativity in drawing on multiple sources. Synthetic and interdisciplinary. Demonstrates a deep understanding of relevant literatures.
show effective oral communication skills.	Argument is weak, inconsistent, contradictory, unconvincing or invalid.	Provides solid, expected results and answers. Clear and coherent.	Gives a solid argument with novel or fresh insights. Original with clear and coherent details.	Compelling, exciting, and persuasive. Has a point of view and a confident, independent, authoritative voice.
respond adequately to questions posed.	Unable to articulate an argument.	Provides a coherent response with some logic gaps or inconsistencies.	Shows understanding and mastery of subject matter.	Exhibits mature, independent thinking. Demonstrates command and authority over the material.
display effective written communication skills.	Academic writing lacks structure and organization. Writing has extensive spelling and grammatical errors.	Writing is adequate. Structure and organization are weak but sufficient.	Well written and well organized.	Concise, elegant, engaging, interesting, sophisticated, and original. Connects components seamlessly.
effectively frame or communicate the student's current research.	No independent research. Question or problem is trivial, weak, unoriginal, or previously solved.	Demonstrates competence but is not very original or significant. Displays little creativity, imagination, or insight.	Has a compelling question or problem. Argument is strong, comprehensive, and coherent. Has some original ideas, insights, and observations.	Argument is focused, logical, rigorous, and sustained. Proposed project is original, ambitious, creative, significant, and thoughtful. Asks new questions or addresses an important question or problem.



# Degree Program Learning Outcomes

- Learning outcomes and assessment plan posted publicly for each degree program.

## Classics

Classics

APPLYING

DEGREE INFORMATION

DESCRIPTION

FACULTY

ASSESSMENT

## Classics Assessment Plan

Field of Classics Assessment Plan 2011			
Proficiency	Measurements	Timeframe	Source
<b>Overarching Goals</b>			
Complete degree in a timely fashion (5-6 years)	1. pass first year exam	by end of second semester (May of year 1)	Field records supplied by Graduate School
	2. pass Q exam	by end of fourth semester (May of year 2)	internal Field records
	3. pass A exam	before the beginning of the seventh semester (by the end of August)	Field records supplied by Graduate School
	4. presentation of dissertation prospectus	at the beginning of the second semester of the fourth year	Field records supplied by Graduate School
	5. obtain 6th year funding (where applicable)	by December of year 5	assessment by faculty using rubric
	6. pass B exam	no later than end of year 6	Field records supplied by Graduate School
<b>Make an original and substantial contribution to the field</b>			
Think originally and independently to develop concepts and methodologies	1. dissertation	no later than end of year 6	Field records supplied by Graduate School
Identify new research opportunities within the field	2. research projects or papers for dissemination	ongoing	CV review, annual review, or field survey
<b>Demonstrate advanced research skills</b>			
demonstrate proficiency in languages	1. pass Q exam	by end of fourth semester (May of year 2)	internal Field records
	2. pass modern language exams	1st by end of the third year, 2nd by end of the	internal Field records
master application of existing research methods and techniques	3. completion of 2 chosen research papers	by the time of the A exam	internal Field records of paper grade
communicate in a style appropriate to the discipline	4. satisfactory coursework as appropriate to each concentration	ongoing	annual review, transcript
<b>Demonstrate professional skills and commitment to the values of scholarship</b>			
Listen, give, and receive feedback effectively	1. TA evaluations	ongoing	semesterly TA evaluations
	2. TA observation	ongoing	structured observations
	3. student receptivity to criticism of academic work	ongoing	annual review, CV review
Show commitment to professional development and knowledge transfer	4. conference participation	ongoing	annual review, CV review
	5. attend department lectures, TA training, workshops	ongoing	annual review, observation

# Public Field Metrics: Admissions; Enrollment; PhD Attrition & Completion; Median Time-to-Degree; Job Placement



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SEARCH:

go

☒ Grad School

☐ Cornell

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## Academics

Fields of Study

Faculty

Graduate Degrees

Research and Scholarship

Learning Assessment

Field Metrics

Office of Academic Affairs

Thesis & Dissertation

Requirements

## students & faculty

› Commencement

› Career Guide

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## Field Metrics

To provide comprehensive information about advanced study at Cornell, the Graduate School is posting filterable data for several key areas: applications and yield, enrollment, attrition and completion, PhD outcomes, median time to degree, and job placement. Reports are filterable by degree type, discipline, and graduate field of study.

### Selectivity and Yield Over Five Years

Five year trend for applicants, applicants who were offered admissions, and admitted students who completed all requirements to become students at Cornell (matriculants). Discipline level views show comparison to Cornell peer groups.

› [Selectivity and Yield Over 5 Years](#)

### Enrollment Profile Over Five Years

Number of new student enrollments versus the students who are returning for a second year and beyond.

# PhD Outcomes & Time-to-Degree: by Graduate Field (Public Version)

Outcomes and Time-To-Degree Attrition - Completion

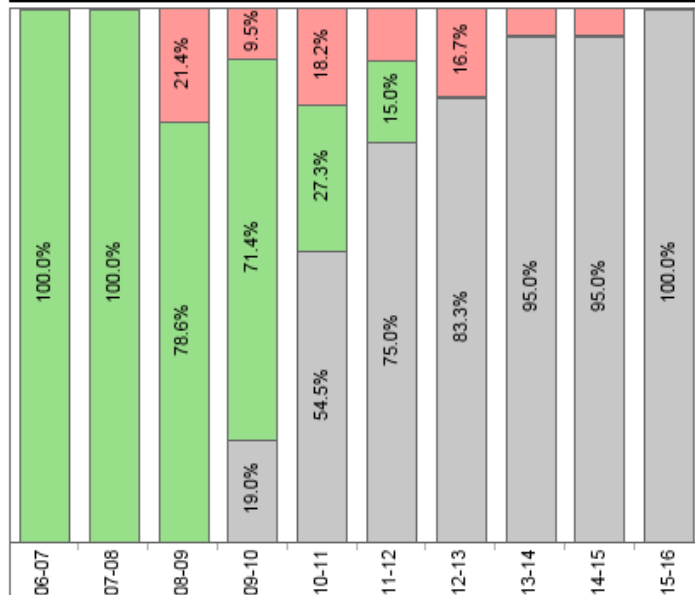
## Field PhD Outcomes and Median Time-to-Degree by Cohorts



Cornell University  
Graduate School

Field: Biomedical Engineering

### All PhD Outcomes for Biomedical Engineering



PhD Attrit % PhD Award % PhD Enroll %

### Overall Median Time-to-Degree for Biomedical Engineering in Doctoral Programs

	06-07	07-08	08-09	09-10	10-11	11-12	12-13	13-14	14-15	15-16
Doctoral Count	12	14	14	21	22	20	18	20	20	20
Doctoral TTD	5.43	5.60	5.97	5.41	4.98	3.98				

# Self-service Metrics (Protected Access)

## Data Solutions

Data Request

Field Metric Reports

Interactive Trends

GR Admissions Reports

GR Admissions Test Reports

GR Committee Reports

GR Current Students

GR Enrollment Reports

GR Financial Support

GR Milestones

GR Degree Reports

GR Student Survey Data

Student Services Deadlines

OISE Reports

[home](#) » [data solutions](#)

## Data Solutions

Welcome to the Data Solutions online report repository. Within these pages, you will find a variety of reports to assist you in your graduate student administration data needs. To find the data you need, simply view the different subject areas in the navigation menu to the left. The menu will continue to grow as we regularly add useful and necessary reports to our repository.

We suggest reading through the [Tips and Tricks](#) before running a report, as these will help you best use this tool.

Please remember that you are often dealing with sensitive data and need to respect FERPA at all times. FERPA is the Family Educational Rights and Privacy Act, and affords students certain rights with respect to their education record. For more information on FERPA and the university policy, please refer to the following websites:

- › [Policy 4.5 - Access to Student Information](#)
- › [Student Record Privacy Statement: Annual Notification Under FERPA](#)

If for some reason you do not find the necessary information you seek, do not hesitate to submit a ticket using the

# PhD Outcomes & Time-to-Degree: by Field or Discipline, Gender, Ethnicity, Citizenship

(Private Version)

## Field PhD Outcomes and Median Time-to-Degree by Cohorts



Cornell University  
Graduate School

Field:

Biomedical Engineering

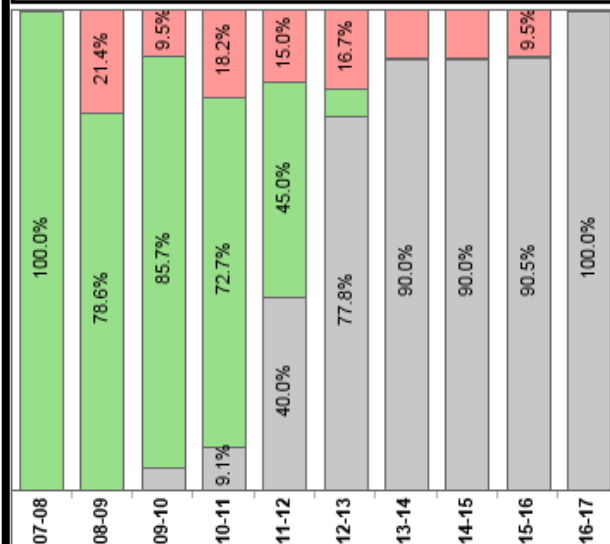
Gender:

(All)

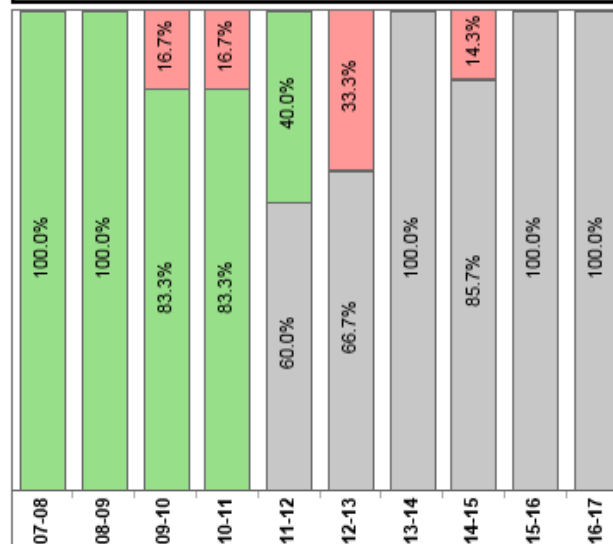
Ethnicity:

(All)

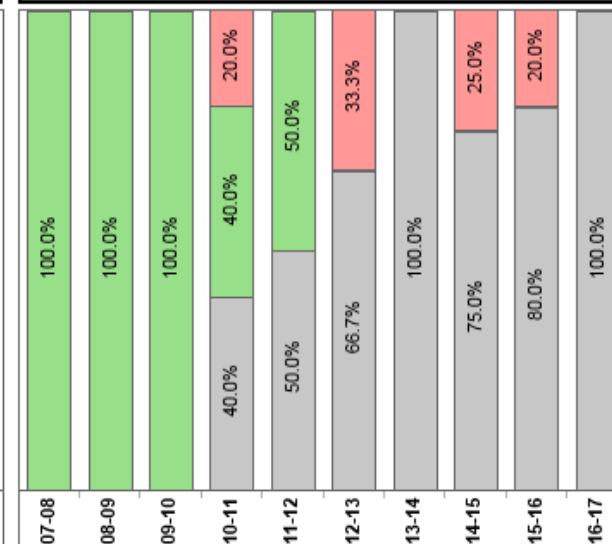
### All PhD Outcomes for Biomedical Engineering



### URM PhD Outcomes for Biomedical Engineering



### Int'l PhD Outcomes for Biomedical Engineering



PhD Attrit % PhD Award % PhD Enroll %

URM Attrit % URM Award % URM Enroll %

Int'l Attrit % Int'l Award % Int'l Enroll %

### Overall Median Time-to-Degree for Biomedical Engineering in Doctoral, Professional Masters, Research Masters Programs

	07-08	08-09	09-10	10-11	11-12	12-13	13-14	14-15	15-16	16-17
Doctoral Count	14	14	21	22	20	18	20	20	21	15
Doctoral TTD	5.60	5.97	5.74	5.55	4.99	3.77				

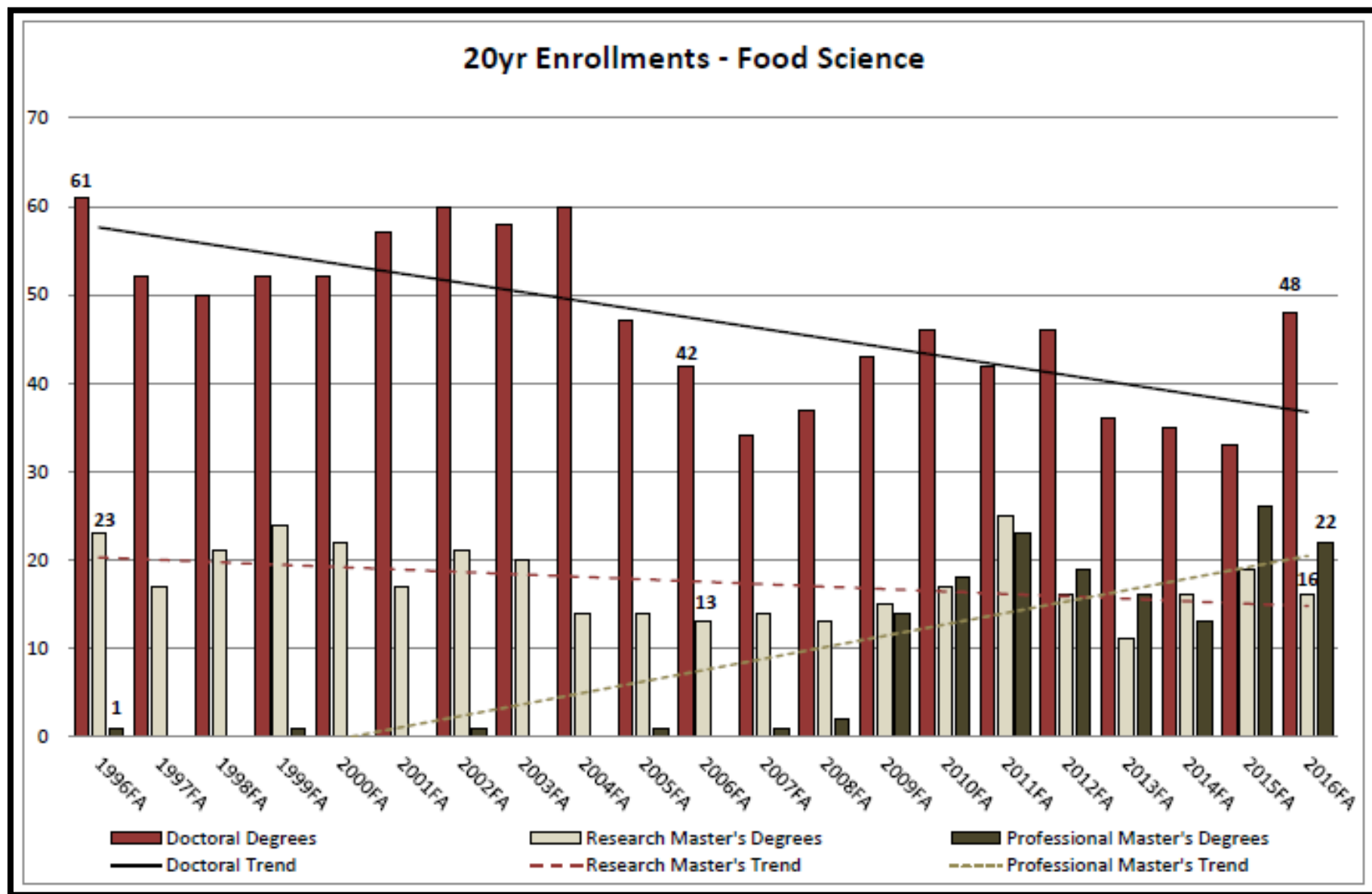


# PhD Attrition & Completion: by Field or Discipline, Gender, Ethnicity, Citizenship

(Private Version)

Field:	Biomedical Engineering	Gender:	(All)	Ethnicity:	(All)	Comp / Att	by Number													
All Doctoral Attrition for Biomedical Engineering									All Doctoral Completion for Biomedical Engineering									Totals		
	Cohort Count	1 Year Attrit	2 Year Attrit	3 Year Attrit	4 Year Attrit	5 Year Attrit	6 Year Attrit	6 + Attrit		Cohort Count	1-3 Yr Comp	4 Year Comp	5 Year Comp	6 Year Comp	7 Year Comp	8 Year Comp	8 + Comp	PhD Attrit %	PhD Award %	PhD Enroll %
07-08	14	0.0	0.0	0.0	0.0	0.0	0.0	0.0	07-08	14	0.0	0.0	4.0	5.0	5.0	0.0	0.0	0.0%	100.0%	0.0%
08-09	14	0.0	1.0	0.0	1.0	0.0	0.0	1.0	08-09	14	1.0	1.0	1.0	7.0	1.0	0.0	0.0	21.4%	78.6%	0.0%
09-10	21	1.0	0.0	1.0	0.0	0.0	0.0	0.0	09-10	21	0.0	1.0	6.0	6.0	5.0	0.0	0.0	9.5%	85.7%	4.8%
10-11	22	2.0	1.0	0.0	1.0	0.0	0.0	0.0	10-11	22	0.0	2.0	4.0	6.0	4.0	0.0		18.2%	72.7%	9.1%
11-12	20	1.0	0.0	0.0	1.0	1.0	0.0	0.0	11-12	20	0.0	3.0	2.0	4.0	0.0			15.0%	45.0%	40.0%
12-13	18	2.0	1.0	0.0	0.0	0.0	0.0		12-13	18	0.0	1.0	0.0	0.0				16.7%	5.6%	77.8%
13-14	20	1.0	0.0	1.0	0.0	0.0			13-14	20	0.0	0.0	0.0					10.0%	0.0%	90.0%
14-15	20	0.0	2.0	0.0	0.0				14-15	20	0.0	0.0						10.0%	0.0%	90.0%
15-16	21	1.0	1.0	0.0					15-16	21	0.0							9.5%	0.0%	90.5%
16-17	15	0.0	0.0						16-17	15	0.0							0.0%	0.0%	100.0%
URM Doctoral Attrition for Biomedical Engineering									URM Doctoral Completion for Biomedical Engineering									URM Totals		
	URM Count	1 Year Attrit	2 Year Attrit	3 Year Attrit	4 Year Attrit	5 Year Attrit	6 Year Attrit	6 + Attrit		URM Count	1-3 Yr Comp	4 Year Comp	5 Year Comp	6 Year Comp	7 Year Comp	8 Year Comp	8 + Comp	URM Attrit %	URM Award %	URM Enroll %
07-08	1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	07-08	1	0.0	0.0	0.0	1.0	0.0	0.0	0.0	0.0%	100.0%	0.0%
08-09	1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	08-09	1	0.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0%	100.0%	0.0%
09-10	6	0.0	0.0	1.0	0.0	0.0	0.0	0.0	09-10	6	0.0	0.0	1.0	0.0	4.0	0.0	0.0	16.7%	83.3%	0.0%
10-11	6	1.0	0.0	0.0	0.0	0.0	0.0	0.0	10-11	6	0.0	0.0	1.0	3.0	1.0	0.0		16.7%	83.3%	0.0%
11-12	5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	11-12	5	0.0	1.0	0.0	1.0	0.0			0.0%	40.0%	60.0%
12-13	3	0.0	1.0	0.0	0.0	0.0	0.0		12-13	3	0.0	0.0	0.0	0.0				33.3%	0.0%	66.7%
13-14	1	0.0	0.0	0.0	0.0	0.0			13-14	1	0.0	0.0	0.0					0.0%	0.0%	100.0%
14-15	7	0.0	1.0	0.0	0.0				14-15	7	0.0	0.0						14.3%	0.0%	85.7%
15-16	6	0.0	0.0	0.0					15-16	6	0.0							0.0%	0.0%	100.0%
16-17	5	0.0	0.0						16-17	5	0.0							0.0%	0.0%	100.0%

# Enrollment Trends by Field and Degrees



# Graduate Student Funding Trends

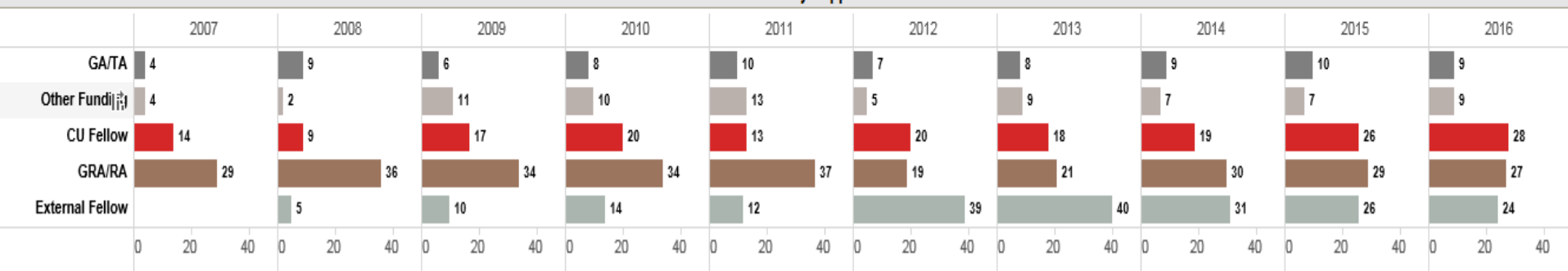


Cornell University  
Graduate School

## Cornell University Graduate School Ten-Year Primary Support Trends

Graduate Field	College/Unit	Discipline	Degree Type	Funding Type	STEM Field?	Training Grant?	High-Level Citizenship	High-Level Ethnicity	Sex
Biomedical Engineering	(All)	Physical Sciences an...	Doctoral	(All)	(All)	(All)	(All)	(All)	(All)

### Overall Primary Support



# Admissions Selectivity & Yield: By Field & Discipline, (Private Version) Degree, Gender, Ethnicity, Citizenship

## Graduate Field Selectivity and Yield Over 5 Year Trend



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	Ecology and Evolutionary Biology					5 Year Average	5 Year % Change	Life Sciences	
	SU/FA 2012	SU/FA 2013	SU/FA 2014	SU/FA 2015	SU/FA 2016			Discipline 5 Year % Change	
Applied	138	110	88	95	96	86	-31.2%	-9.7%	
Admitted	17	16	11	10	16	11	-41.2%	-0.4%	
Matric	13	12	10	6	11	8	-53.8%	-6.8%	

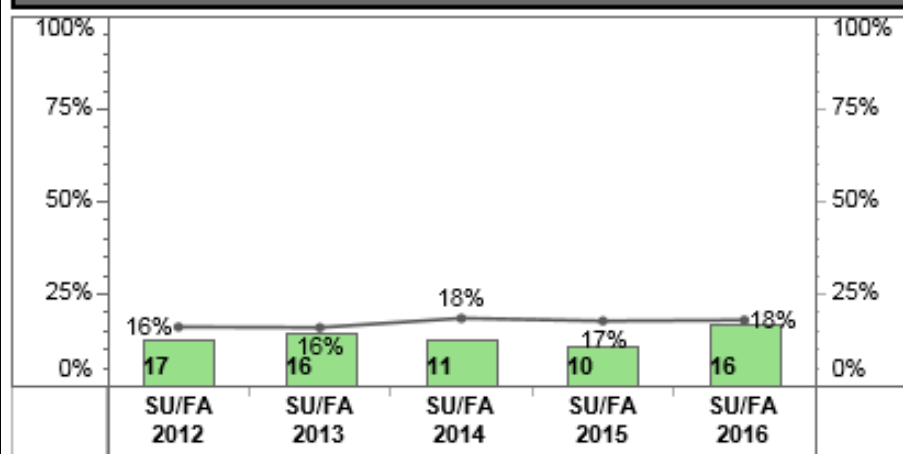
Graduate Field

Ecology and Evolutionary Biology

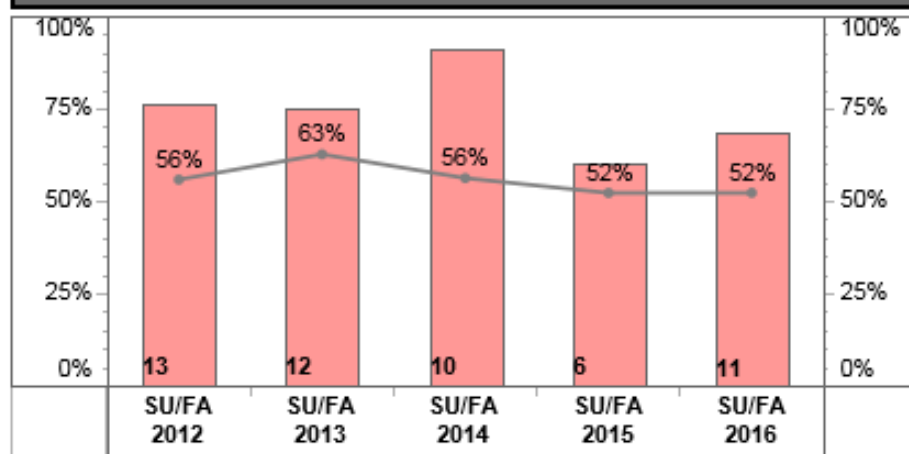
Degree Type

Doctoral

### Overall Selectivity for Ecology and Evolutionary Biology (Percent of Acceptable to be Admitted)



### Overall Yield for Ecology and Evolutionary Biology (Percentage of Admitted Who Matric)

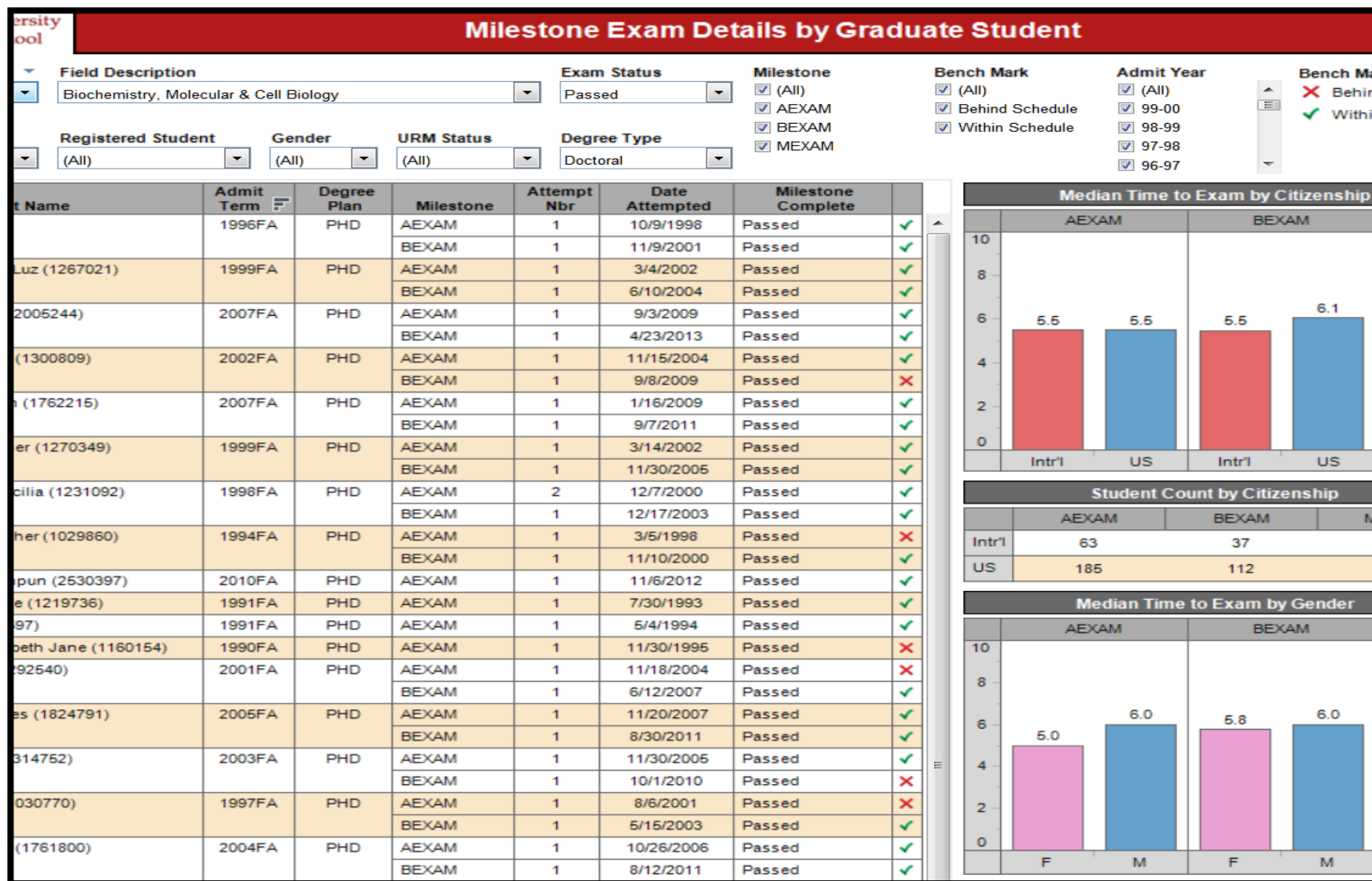


Discipline Selectivity Percentage Field Selectivity Percentage

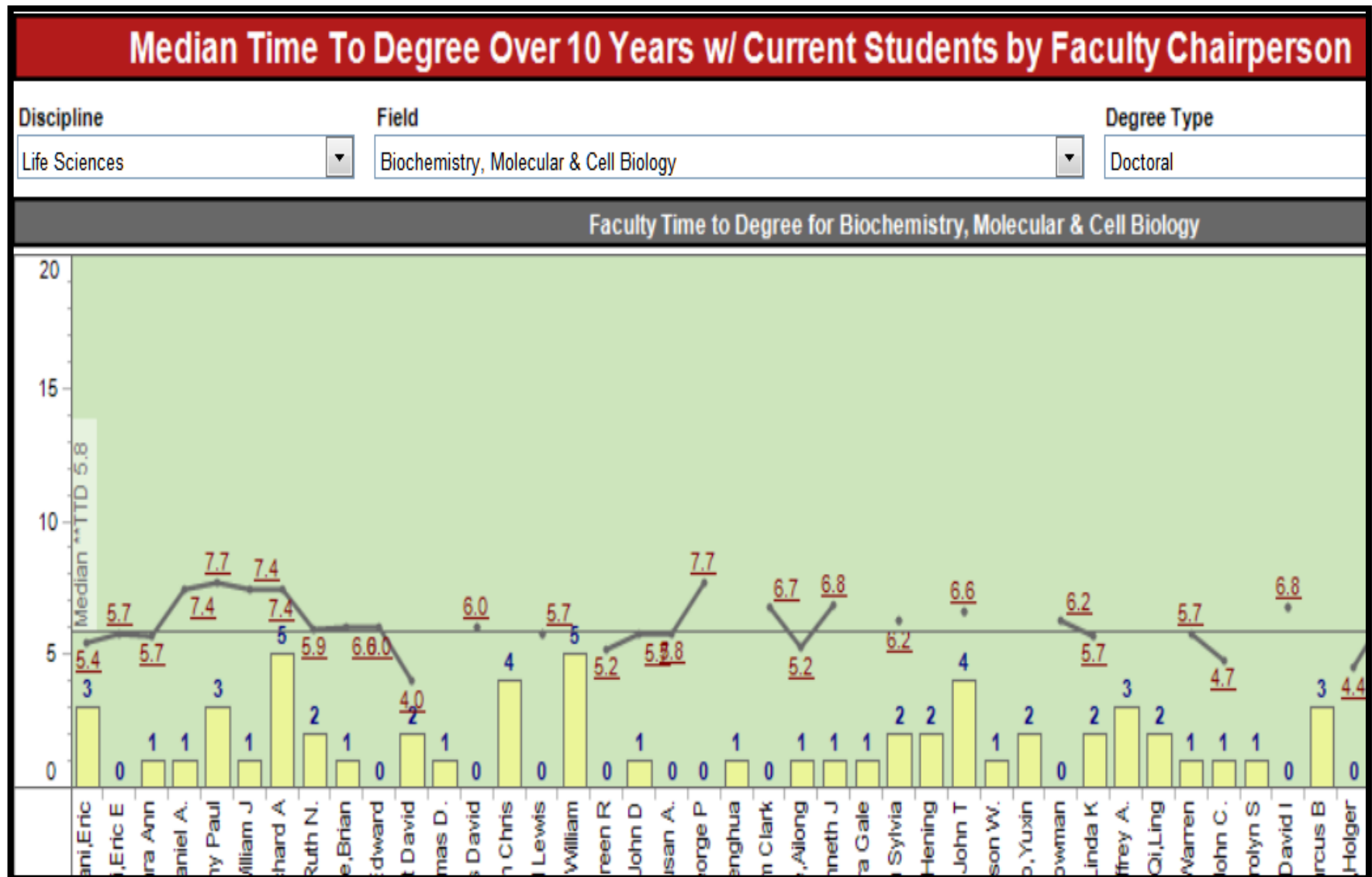
Discipline Yield Percentage Field Yield Percentage



# Student Progress on Exams: By Field or Discipline, Degree, Gender, Ethnicity, Citizenship, Registration Status, Exam, Year, Timing

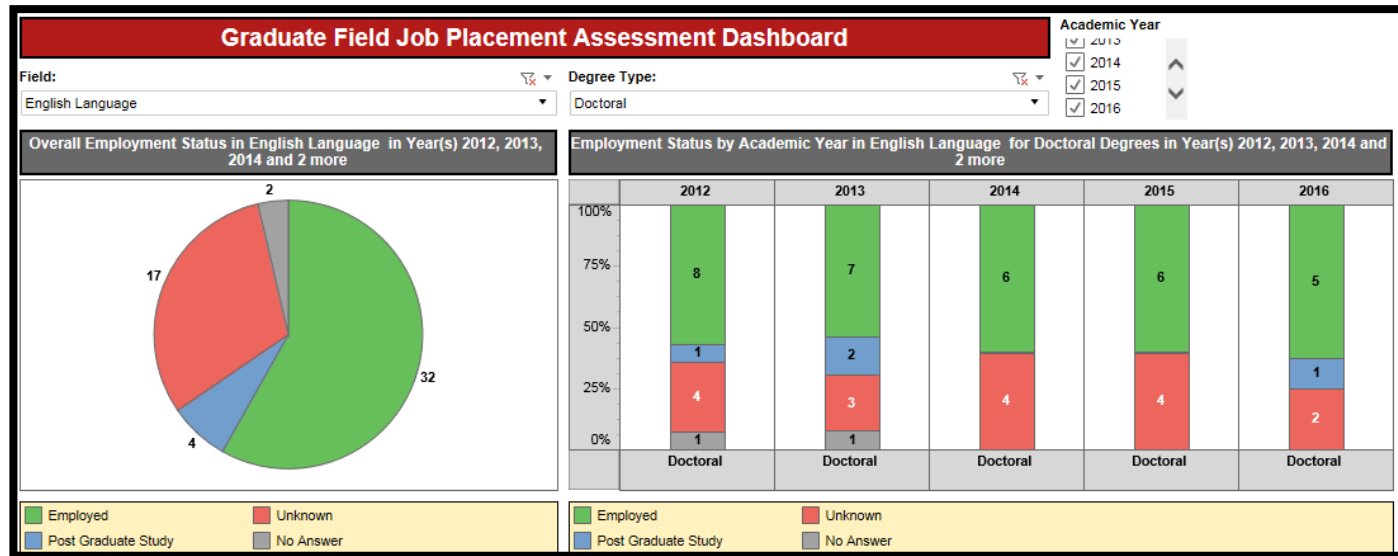


# Time-to-Degree by Faculty Advisor: By Field or Discipline, Degree

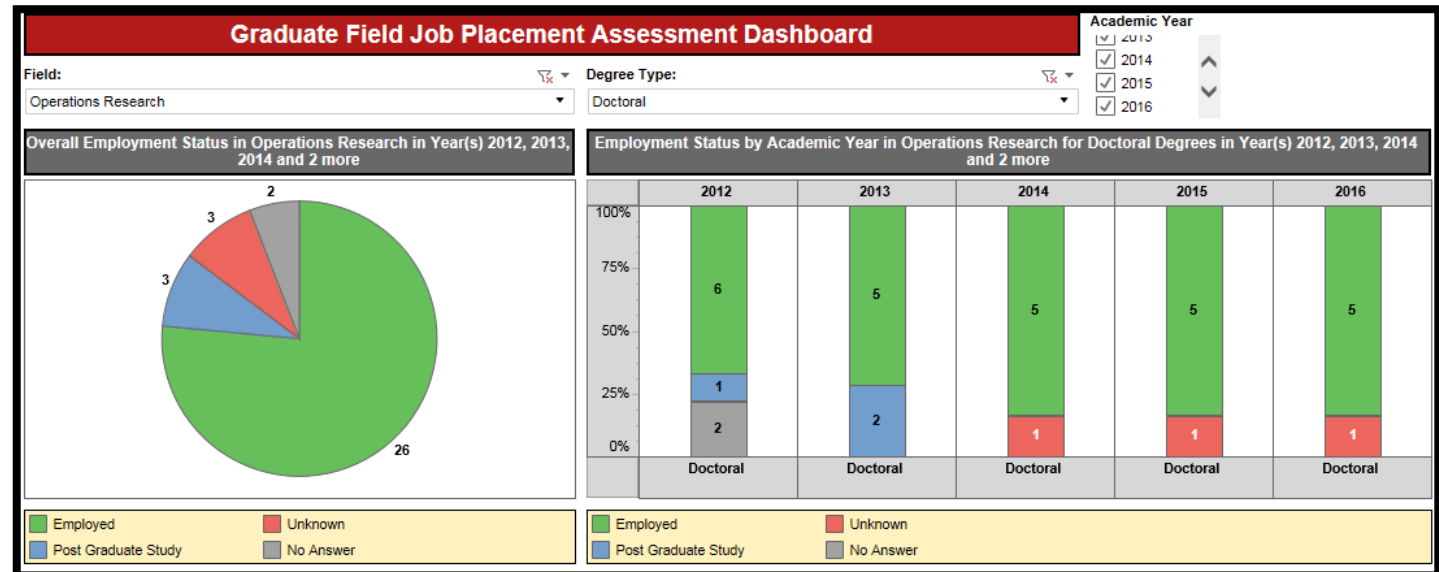


# Initial Job Placement: By Field or Discipline, Degree

(Public and Private Versions)



English  
Language &  
Literature



Operations  
Research

# Career Outcomes: Alumni Survey

- Doctoral alumni career outcomes surveys, starting in 2014 (2-20 years out)
- Complemented with social media for current position information.
- Employment, reflections on value of graduate education for job.
- Perceptions of value of doctoral education for job entry now.





# Student Surveys: Private; By Field, Discipline, Gender, Citizenship, Family, Sexual Orientation



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## Doctoral Experience Survey by Field

Response

698

Discipline	Field	Survey Year	View Results by
Life Sciences	(All)	(All)	All Values

### Overall Experiences

Question	Good-Excellent	Poor/Fair
Your academic experience at Cornell.	92.3%	7.7%
Your student life experience at Cornell.	86.6%	13.4%
Overall experience at Cornell.	90.9%	9.1%

### Experience & Exit Survey Topics:

- Overall Experiences
- Quality of Your Academic Program
- Advising and Mentoring
- Professional Development
- Research Experience
- Program Climate
- Campus Climate

- Resources and Services
- Learning Outcomes
- Career Plans

### New Student & Admitted Not Attending Topics:

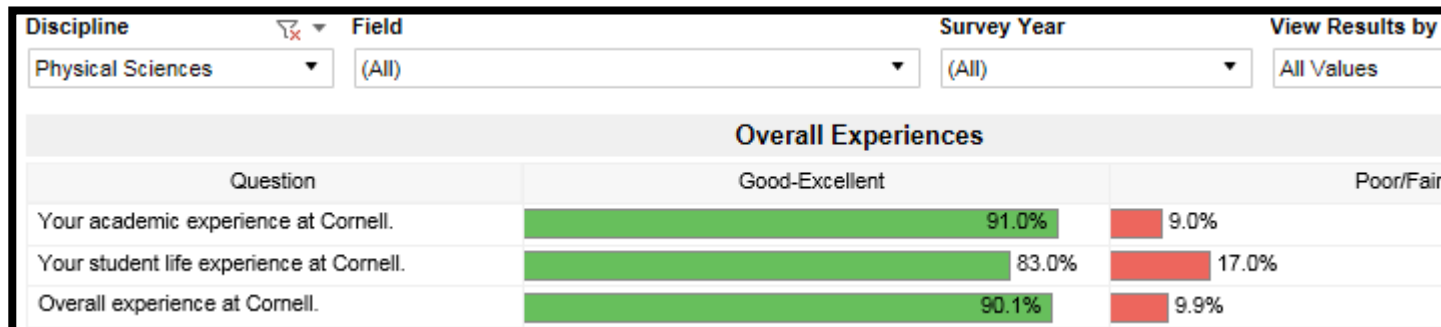
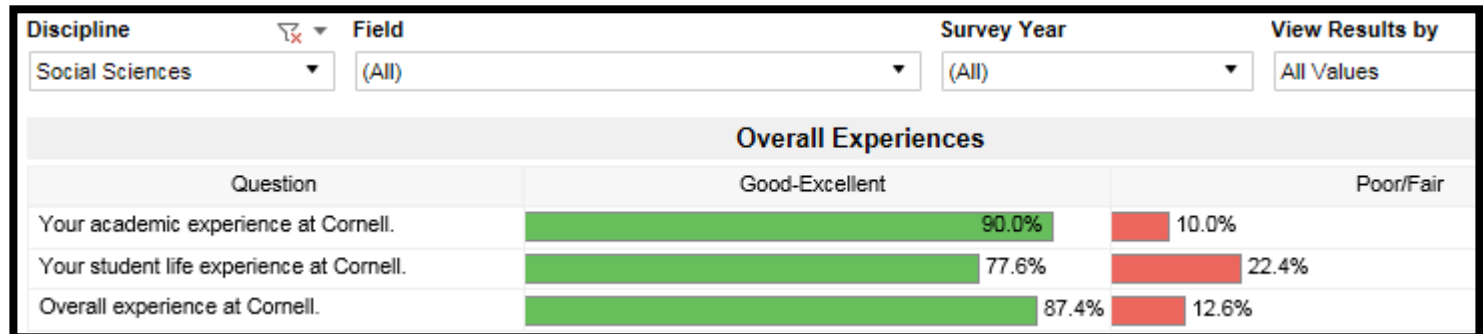
- Top Three Schools
- Decision to Apply
- Decision to Enroll
- (First Impressions)

# Student Surveys: Compare Disciplines



Humanities

Social  
Sciences



Physical  
Sciences &  
Engineering

# Survey Dashboards: Disciplines vs. Fields

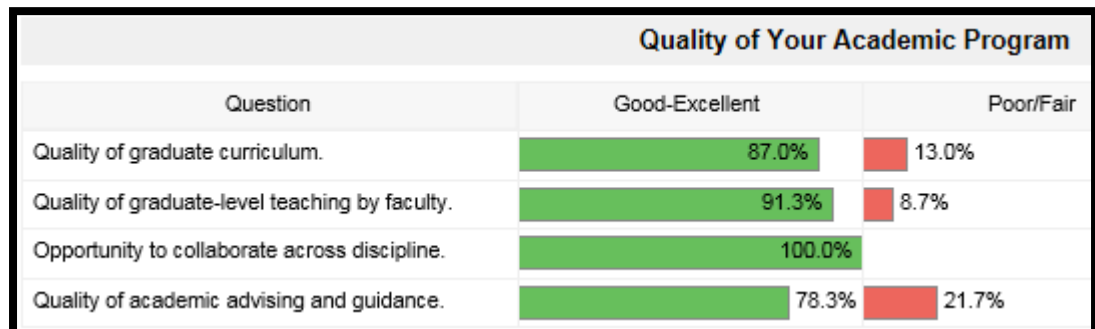


Humanities

English Language  
& Literature



Classics



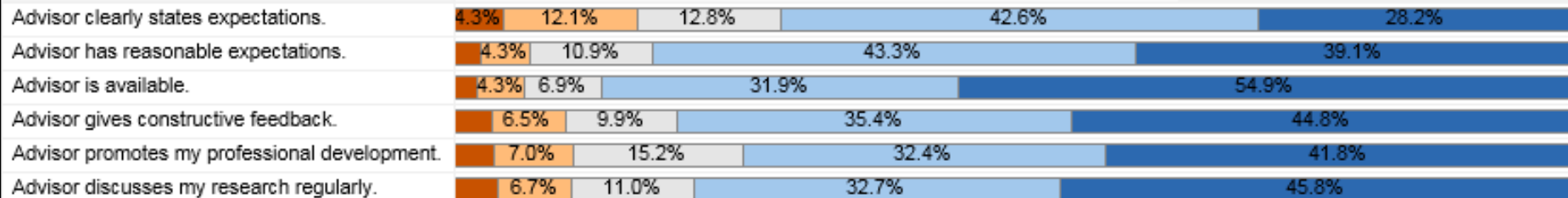
# Survey Dashboards: Advising & Mentoring

## Advising and Mentoring

Rate the extent to which you agree or disagree with the following statements concerning advisement.

Strongly Disagree(1) to Strongly Agree(5)

1 2 3 4 5



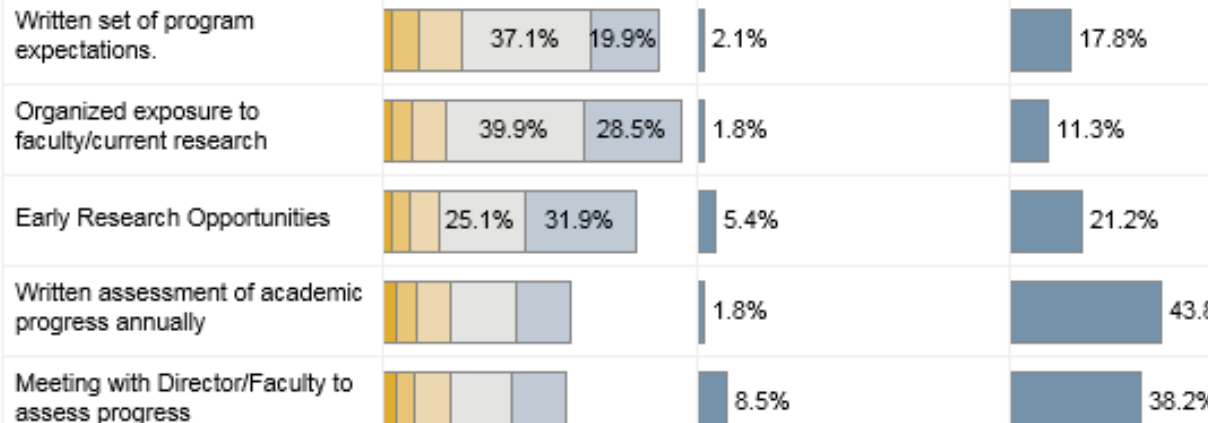
Please rate the effectiveness of each.

1 8

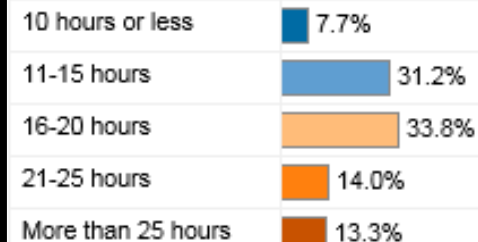
Very Ineffective(1) to Very Effective(5)

Available, Did Not Participate

Not Available



Hours devoted to Full TA/GA?





# Survey Dashboards: Men v. Women

## Men, Physical Sciences & Engineering



## Women, Physical Sciences & Engineering



# Survey Dashboards: Exit Survey Open Response



## Student Exit Survey Open Text by Field

Discipline	Field	Degree Type	Survey Year
Humanities	(All)	Doctoral	(All)

### Question

What would you recommend your program and/or Cornell do to attract, retain, and graduate top students?

"1. That the program be more open to non-academic careers, given this job market. 2. That the program be more transparent with requirements, what exactly is expected for departmental exams, presentations, etc. 3. That the program consider tailoring expectations to given students 4. That the program emphasize professionalization at an early stage: publishing articles, giving conference papers, etc."

"Admit only as many students as they can place in jobs. From an early stage, give students a clear idea of the demands and expectations of the program and of the field at large. In all fairness to the great scholars in this program, I thought that the Directors of Graduate Studies were not as strong as they could be in communicating some very important information to students from early on. We often found out about degree requirements way too close to the time we had to complete them, and sometimes after!"

"Although the University and my department increasingly provided dissertation and job counseling services, more and more effort has to be made, even at the earliest stages of graduate study, to prepare students for the brutal processes of fellowship, post-doc and job applications. That might include preparing students early on to submit articles or preparing students for careers beyond the academy. Obviously I am only speaking for students in the humanities. Since their work contains little to no financial avenues to profit or monetization, it seems a horse of a different color from students in other disciplines. Perhaps more focus on the humanities, in this regard, would be helpful. If the University intends to maintain its excellent education in the humanities, that is..

Discipline	Field	Degree Type	Survey Year
Life Sciences	(All)	Doctoral	(Multiple values)

### Question

What would you recommend your program and/or Cornell do to attract, retain, and graduate top students?

"1) Promote & facilitate interdisciplinary collaborations, both within Cornell and with other institutions. With current the funding climate, it is more important than ever that researchers be able to design and implement studies that can address complex issues in multiple disciplines. 2) Encourage students to engage in additional research projects in addition to their dissertation research. 3) Continue to fund the Center for Teaching Excellence and CU-CIRTL programs. Because of these resources, I feel prepared to teach undergraduate courses in my field and in related fields. 4) Continue GPSA, Big Red Barn, and departmental graduate programming. These groups support a positive Graduate Student Life environment, which is key for success in all aspects of acade..

# Outcomes of Assessment: Fields and Graduate School

## Conversations and Actions

### In Fields:

- Time-to-degree
- Timing of exams
- Requirements in relation to learning outcomes
- Advising quality
- Career planning
- Field collaborations

### In Graduate School:

- Programming to support transferable skills
- Disseminate good practices
- Resource allocation decisions
- Campus partnerships
- External funding



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